| Section           | Subject           | Page          |
|-------------------|-------------------|---------------|
|                   | APPROVED          | 1             |
| Quality Assurance | Quality Assurance | Create Date   |
|                   | Program           | March 2, 2012 |

| Intent/Purpose | To provide compreh<br>Naturopaths of Onta                      | ensive policies governing the Quality Assurance Program of the College of<br>rio (the College).  |
|----------------|--|--|
| Definitions    | Assessor   | A person appointed under section 81 of the <i>Health Professions Procedural Code</i> (the Code), which is Schedule 2 to the <i>Regulated Health Professions Act, 1991</i> (RHPA).  |
|                | Stratified Random<br>Sampling                                  | A sampling where groups are:<br>(a) removed from the pool of Registrants to be sampled, or<br>(b) weighted to increase or decrease the likelihood of their being<br>selected.  |
|                | Continuing<br>Education and<br>Professional<br>Development Log | Document approved by the College for Registrants to provide a summary<br>of their continuing education and professional development learning<br>activities.  |
|                | Professional<br>Portfolio                                      | An organizational tool that contains all the information related to a Registrant's participation in the College of Naturopaths of Ontario's (CONO) Quality Assurance (QA) Program.   |
| General        | Regulations  | All aspects of the Quality Assurance Program will be managed in accordance with the <i>Health Professions Procedural Code,</i> and the College's Quality Assurance Regulation.   |
|                |  | Members of the Quality Assurance Committee (QAC) and Quality<br>Assurance staff will act in accordance with these policies, regulations and<br>the applicable procedures manuals.  |
|                | Confidentiality  | Committee members have a general statutory duty of confidentiality, as<br>set out in section 36 of the RHPA, which provides that all representatives<br>of the College shall keep confidential all information that comes to their<br>knowledge in the course of their duties, and shall not communicate any<br>information to any person except to the extent the information is available<br>to the public under the RHPA, in connection with the administration of the<br>Act, or in certain other narrow, specified circumstances. |
|                | Composition of the<br>Committee and its<br>Panels              | The composition of the QAC is specified in the by-laws of the College.<br>The Committee shall be appointed by the Council of the College and<br>shall be comprised of at least six members, including:   |
|                |  | <ul> <li>At least three professional Registrants who are members of the Council,</li> <li>At least two public members who are members of the Council,</li> <li>At least one professional Registrant who is not a member of the Council.</li> </ul>   |
| <b></b>        |  | Section 3(1) of the QA Regulation provides that a panel must consist of  |

| DATE POLICY APPROVED | REVIEW DATE   |
|----------------------|---------------|
| November 27, 2013    | June 28, 2022 |

| Section                          | Subject<br>APPROVED  | Page 2  |
|----------------------------------|--|---|
| Quality Assurance                | Quality Assurance<br>Program   | Create Date<br>March 2, 2012  |
|                                  | at least three members of the QAC v<br>being a public member.  | vith at least one of those persons  |
| Quorum                           | Section 3(2) of the QA Regulation pr<br>the QAC constitute a quorum with at<br>public member of the Council and on<br>Registrant who is a Registrant of the  | least one of those persons being a e member being a professional  |
| Panel Chair                      | A Panel Chair is selected by the cha<br>to ensure that all processes are cond<br>orderly fashion in accordance with th   | lucted fairly, efficiently and in an  |
|                                  | The Panel Chair may be either a pub<br>QAC and need not be the chair of the  |   |
| Bias/Conflict of<br>Interest     | Pursuant to the College's by-laws, no<br>can have a real or perceived bias or<br>has a conflict of interest or bias, whe<br>declare it and should excuse themse<br>pertaining to the matter whenever the   | conflict of interest. If a QAC member<br>ther it is real or perceived, they must<br>lves from any discussions and votes   |
|                                  | Panel members must be objective ar<br>outcome of the matter coming before<br>may be disqualified because of an ac<br>because of circumstances that give r<br>of bias or conflict of interest, even the   | e them for decision. Panel members<br>ctual bias or conflict of interest or<br>ise to a reasonable apprehension   |
| Powers of the<br>Committee       | As outlined in section 80.2 of the Code the following:   | the QAC may do only one or more of  |
|                                  | <ul> <li>participate in continuing educat<br/>specified by the Committee,</li> <li>Direct the Chief Executive Offic<br/>conditions or limitations, for a s<br/>determined by the Committee, of<br/>Registrant whose knowledge, s<br/>to be unsatisfactory, or who has<br/>continuing education or remedia<br/>and has not completed those puise</li> <li>Direct the CEO to remove terms<br/>the end of a specified period, if<br/>Registrant's knowledge, skill an</li> <li>Disclose the name of the Regis<br/>Registrant to the Inquiries, Committee</li> </ul> | and found to be unsatisfactory to<br>ion or remediation programs<br>er (CEO) to impose terms,<br>pecified period of time to be<br>on the certificate of registration of a<br>kill and judgment have been found<br>s been directed to participate in<br>ation programs by the Committee<br>rograms successfully,<br>s, conditions or limitations before<br>the Committee is satisfied that the<br>id judgment are now satisfactory,<br>trant and allegations against the<br>aplaints and Reports Committee if<br>the Registrant may have committed |
| Notice of the QAC's Intention to | As outlined in section 80.2(2) of the the CEO to impose terms, conditions  |   |

DATE POLICY APPROVEDREVIEW DATENovember 27, 2013June 28, 2022

| Section               |                                    | Subject<br>APPROVED   | Page 3   |
|-----------------------|------------------------------------|---|--|
| Quality A             | Assurance                          | Quality Assurance<br>Program  | Create Date<br>March 2, 2012   |
|                       | Give Direction to the CEO          | period to be determined by the Con<br>registration of a Registrant unless t<br>of the QAC's intention to give direct<br>written submissions to the Committ  | he Registrant has been given notice<br>tion, and at least 14 days to make  |
|                       | Participation                      | As outlined in section 4 of the QA F<br>than a Registrant who holds an inac<br>participate in the program.  |  |
|                       | Annual Renewal<br>Form             | Registrants are required to provide<br>annual registration renewal form for   |  |
|                       |                                    | <ul> <li>Annual currency,</li> </ul>  |  |
|                       |                                    | <ul> <li>Declaration that they have con<br/>and are compliant with the QA</li> </ul>  | npleted their annual Self- Assessme<br>Program requirements.   |
|                       |                                    | Registrants who inform the College<br>annual Self-Assessment may be de<br>managed according to the non-com  | eemed to be non-compliant and will   |
|                       | Currency                           | Registrants does not meet the Colle<br>may refer the Registrant to the QAC  | he Registration Regulation, where a<br>ege's currency requirements, the CI<br>C. The Committee shall determine th<br>may do anything within its power as<br>e.                                 |
|                       | Non-compliance                     | 7(2)(b) of the QA Regulation the Repractice review. The College may a report to the QAC. After considering the opportunity to make submission powers outlined in section 80.2 of the QAC can disclose the name of the Registrant to the Inquiries, Complaid Quality Assurance Committee is of | ppoint an assessor who will provide<br>g the report and giving the Registrants, the QAC can exercise any of its<br>he Code. In more extreme cases, the<br>Registrant and allegations against t |
|                       | Annual Policy and Standards Review |   | will review the program policies and<br>Is of Practice and Guidelines, on an   |
| ofessional<br>rtfolio | Participation                      | Each Registrant holding a General and maintain a professional portfoli  | certificate of registration must deve<br>o.  |
|                       | Requirements                       | <ul> <li>Each professional portfolio must ir</li> <li>Completed Self-Assessments,</li> <li>Continuing Education and Prof</li> <li>Proof of completion/materials of Education and Professional de</li> </ul>   | fessional Development Log,<br>gathered while fulfilling the Continui   |
|                       |                                    |   | REVIEW DATE  |

| DATE POLICY APPROVED | REVIEW DATE   |
|----------------------|---------------|
| November 27, 2013    | June 28, 2022 |

| Section  |                          | Subject   | Page   |
|--|--------------------------|---|--|
| Quality A  | ssurance                 | APPROVED<br>Quality Assurance<br>Program  | 4<br>Create Date<br>March 2, 2012  |
|  |                          | <ul> <li>The disposition report from any assessments, and</li> <li>Proof of valid Health Care Prov</li> </ul>   |  |
|  | Format                   |   | essional Portfolios in a digital or hard<br>equired documents are included and<br>on.                            |
|  | Review                   | Professional Portfolios will be review and practice assessment.   | ved as a part of the Registrant's peer   |
|  | Retention                |   | eted self-assessments and continuing<br>ment materials for a minimum of two<br>til they have been peer assessed. |
| Self-<br>Assessment  | Participation            | Pursuant to section 5 of the QA Reg<br>General certificate of registration mu<br>assessment.  |  |
|  | Submission               | Registrants are not required to subn<br>Questionnaires to the College. How<br>Registrant's Professional Portfolio a<br>during the Registrant's peer and pra | ever, they must be kept in the<br>s they will be reviewed for completior   |
|  | Credit Approval          | At the discretion of the Quality Assu<br>the annual self-Assessment Questic<br>A credits.   | rance Committee, the completion of<br>onnaire may be approved for Categor  |
| Continuing<br>Competency<br>and<br>Professional<br>Development | Participation            | Pursuant to section 5 of the QA Reg<br>General certificate of registration mu<br>and professional development activi  | ist participate in continuing education  |
|  | Reporting Period         |   | cation and professional development<br>year cycle. Registrants are assigned                                      |
|  | Monitoring<br>Compliance | To monitor compliance with the QA<br>Registrants will be required to comp<br>Education and Professional Develop<br>activities they have participated in o   | lete and submit a Continuing oment Log summarizing the learning  |
|  | Requirements             | In every 3-year reporting period, Rep<br>hours of continuing education and p  | gistrants are required to complete 70  |

| DATE POLICY APPROVED | REVIEW DATE   |
|----------------------|---------------|
| November 27, 2013    | June 28, 2022 |

| Quality Assurance             | APPROVED<br>Quality Assurance<br>Program  | 5<br>Create Date<br>March 2, 2012  |
|-------------------------------|---|--|
|                               | riogram   | Hurch 2, 2012  |
|                               | practice of naturopathy. The continu<br>development requirements are brok   |  |
|                               | <ul> <li>Category A – Core Activities –</li> </ul>  |  |
|                               | $_{\odot}$ 3 credits of which are in juri   | •  |
|                               |   | armacology (only applicable to<br>the standard of practice for prescribing   |
|                               |   | enous Infusion Therapy (IVIT) (only<br>o have met the standard of practice for   |
|                               | Category B – Self-Directed Act  | tivities – 40 Credits  |
| Credit Maximum                | The maximum number of Category A individual course is 30 credits.   | credits that the QAC can assign to any   |
| Reporting Cycles              | Following their first CE cycle, Regis<br>report on the full 70 hours of contin<br>development every three years as f                                      |  |
|                               | <ul> <li>Group II – cycle ends September 30</li> <li>Category A – 30 credits</li> <li>Category B – 40 credits</li> </ul>                                  | 0, 2019, 2022, 2025  |
|                               | <ul> <li>Group III – cycle ends September 3</li> <li>Category A – 30 credits</li> <li>Category B – 40 credits</li> </ul>                                  | 30, 2020, 2023, 2026   |
|                               | Group I – cycle ends September 30<br>• Category A – 30 credits<br>• Category B – 40 credits   | 0, 2021, 2024, 2027  |
| Banking Credits               | period to be used in the subsequen<br>not transfer credits from one cycle t<br>continuing education and profession<br>minimum standard for the three-year | nk credits over the three-year reportin<br>at reporting period (i.e. Registrants ma<br>to the next). The required 70 hours of<br>nal development is considered the<br>ar cycle. The College encourages all<br>nal learning activities to better serve th |
| Credit Approval               | Category A (Core Activities) credits<br>the Registrant submitting their com<br>Professional Development Log.  | must be approved by the QAC prior t<br>pleted Continuing Education and   |
| Category A Course<br>Criteria | The QAC will review all applications decision on the following criteria:  | s for approval and will base their   |
|                               | <ul> <li>courses are structured learning</li> </ul>   | -  |
|                               | <ul> <li>course providers are recognize</li> <li>subject is related to the scope</li> <li>references or links are provide</li> </ul>                      | of practice of naturopathy in Ontario,   |
| DATE POLICY APPROVED          |   | REVIEW DATE  |

| DATE POLICY APPROVED | REVIEW DATE   |
|----------------------|---------------|
| November 27, 2013    | June 28, 2022 |
|                      |               |

| Section   | Subject  | Page   |
|---|--|--|
| Quality Assurance   | APPROVED<br>Quality Assurance<br>Program   | Create Date<br>March 2, 2012   |
|   | <ul> <li>material,</li> <li>absence of any commercial bias</li> <li>clearly articulated and relevant l<br/>participants,</li> <li>accessibility to all Registrants e</li> <li>must contain information regard<br/>management of adverse reaction<br/>procedures (Required for IVIT of</li> </ul>           | s or product placement,<br>learning outcomes for<br>qually,<br>ling contraindications,<br>ons and relevant emergency   |
| Online Courses  |  | criteria, when reviewing online<br>urance Committee will consider what,<br>onably ensure that Registrants have   |
| Pharmaceutical<br>Names   | Generic pharmaceutical names must<br>brand name may be used for the pur<br>used, the brand name should appea<br>parenthesis). Every drug mentioned<br>manner throughout the presentation.  | pose of clarity. If brand names are<br>r after the generic name (eg. In<br>should be referred to in a similar  |
| Generic Names   | Generic names must be used in pres<br>all natural health products, devices, l  |  |
| Adding Additional<br>Dates to an<br>Approved Course                               | 1, 2017 a course provider within 24 r<br>approval, may submit additional date<br>related to the original course remain<br>additional dates, the course and the<br>approved list of Category A activities   | es for the course. If all information<br>s the same and the only change being<br>additional dates may be added to the<br>s without review by the QAC. QA staff<br>e of any courses that have met these |
|   | If any aspect of the original course h<br>period (e.g. number of credits, timing<br>be considered new and will require a   | , presenter etc.) the application will   |
| Credits   | Credit allotment and maximums in ea<br>will be determined by the QAC and r<br>credits submitted are subject to revie   | nade available to Registrants. All   |
| Detailed<br>Continuing<br>Competency and<br>Professional<br>Development<br>Review | Each year the QAC may randomly so<br>due to submit their Continuing Educa<br>Log to participate in a detailed contin<br>development review.<br>Registrants who are selected by the<br>continuing competency and profession<br>notified in writing by the College and<br>as determined by the Committee, to | Auing competency and professional<br>QAC to participate in a detailed<br>onal development review will be<br>must submit acceptable proof,  |
| DATE POLICY APPROVED  |  | REVIEW DATE  |
| November 27, 2013   |  | June 28, 2022  |
|   |  | 54110 207 2022   |

| Section                   | Subject<br>APPROVED   | Page 7  |
|---------------------------|---|---|
| Quality Assurance         | Quality Assurance<br>Program  | Create Date<br>March 2, 2012  |
|                           | completion of the identified learning a years along with their Continuing Edu Development Log.  |   |
|                           | If a Registrant does not provide the of<br>Professional Development Log and<br>may be deemed to be non-complian<br>the non-compliance section of this po  | proof of attendance/completion they<br>it and will be managed according to  |
| Extension<br>Requests     | Registrants who are due to submit th<br>Professional Development Log may<br>on parental leave, are seriously ill, ar<br>other extenuating circumstances. All<br>by the QAC on an individual basis.  | seek an extension if they are current<br>e on a leave-of-absence, or have   |
|                           | An application for an extension must<br>received by the College a minimum of<br>deadline for submission of the Contir<br>Development Log, unless there are a<br>affect the Registrant's ability to subm   | of 30 days in advance of the<br>nuing Education and Professional<br>extenuating circumstances that  |
| Incomplete<br>Submissions | Where a Registrant submits a Contin<br>Development Log, and applicable do<br>Registrant will be notified of the discr<br>opportunity, at the discretion of the C<br>Failure to submit completed document<br>compliance and will be managed acc<br>section of this policy.     | cumentation, that is incomplete, the<br>epancy and will be given an<br>AC, to remedy the deficiency.<br>ntation may be deemed to be non-                |
| Failure to Submit         | Where a Registrant fails to submit the<br>Professional Development Log, and a<br>Registrant will be notified of the failur<br>will be given 14 days to submit their r<br>QAC. Failure to submit completed do<br>non-compliance and will be managed<br>section of this policy. | applicable documentation, the<br>re to comply with the QA Program ar<br>requirements, at the discretion of the<br>ocumentation may be deemed to be      |
| Staff Authority           | The Quality Assurance Committee at<br>compliance with the continuing educa<br>component of the QA Program where<br>met by the Registrant. The Deputy C<br>receive a letter that indicates that the<br>continuing education and professiona<br>compliance with the QA Program. | ation and professional development<br>e all requirements are successfully<br>EO will direct that the Registrant<br>by have successfully completed their |
| Outcome Reports           | A report outlining the outcomes of all<br>the QA Committee on a regular basis<br>may be audited, from time to time, by<br>consistency and accuracy.   | and decisions of the Deputy CEO   |

| DATE POLICY APPROVED | REVIEW DATE   |
|----------------------|---------------|
| November 27, 2013    | June 28, 2022 |

| Section                            |  | Subject  | Page  |
|------------------------------------|--|--|---|
| Quality A                          | Assurance  | APPROVED<br>Quality Assurance<br>Program   | 8<br>Create Date<br>March 2, 2012   |
| Peer and<br>Practice<br>Assessment | Participation  | Pursuant to section 4 of the QA Regulation, each Registrant holding<br>a General certificate of registration must participate in the Peer and<br>Practice Assessment Program. Registrants who do not provide direct<br>care to patients are still required to participate in the process.  |   |
|                                    | General  | Peer and practice assessments are a review of various aspects of a<br>Registrant's practice in order to evaluate their knowledge and practise of<br>the College's regulations, standards of practice, policies, and guidelines,<br>and their participation in the Quality Assurance Program.   |   |
|                                    | Components of the<br>Peer and Practice<br>Assessment | Prior to the upcoming cycle, the QAC will determine the components of the peer and practice assessments to be included for that cycle. The Committee will also determine if the assessments will be done in person or virtually.   |   |
|                                    |  | <ul><li>and best represent the scope ar practice,</li><li>a review of the premises where</li><li>a review of the Registrant's professional content of the registrant content of the r</li></ul> | that are no more than 12 months old<br>ad breadth of the Registrant's<br>the Registrant practices,<br>essional portfolio,<br>wledge and understanding of CONO's |
|                                    | Selection  | The QAC will randomly select up to 20% of Registrants to participate<br>the Peer and Practice Assessment Program every year. Registrants a<br>selected using a random stratified sampling. A Registrant may be<br>required to undergo a supplemental peer and practice assessment fo<br>reasons other than the annual random selection (e.g., non-complianc<br>with any of the QA program requirements, or additional reasons as<br>published on the College's website).   |   |
|                                    | Other Selection<br>Criteria                          | A Registrant shall be selected to und<br>practice assessment where a report i<br>Assurance Committee from the CEO<br>out under Part IV of the General Reg<br>Registrant's knowledge, skill or judgn  | is received by the Quality<br>, as a result of an inspection carried<br>ulation, where it is suspected that a   |
|                                    | Exclusions   | <ul> <li>Registrants are not required to undergo a peer and practice assessment they hold an inactive certificate of registration,</li> <li>their certificate of registration is under suspension,</li> <li>they have held a General certificate of registration for less than years prior to the random selection date,</li> <li>they have completed the College's peer and practice assessment prior to all eligible General class Registrants have been random selected and undergone a peer and practice assessment.</li> </ul>  |   |

| DATE POLICY APPROVED | REVIEW DATE   |
|----------------------|---------------|
| November 27, 2013    | June 28, 2022 |

| Section  | Subject<br>APPROVED  | Page 9   |
|--|--|--|
| Quality Assurance                                | Quality Assurance<br>Program   | Create Date<br>March 2, 2012   |
| Volunteering for an<br>Assessment                | A Registrant may volunteer to under<br>by submitting a letter to the QAC sta<br>Registrant may not volunteer for a p<br>they have been in practice for more  | ating their reasons for volunteering. A  |
| Resignation after<br>Random Selection            | A Registrant who resigns from the C<br>of registration after they have been<br>practice assessment, will not be req<br>practice assessment. However, if th<br>General class, they will be required<br>assessment.                                  | selected for the College's peer and<br>uired to complete the peer and<br>e Registrant re-registers in the  |
| General Certificate<br>Holders                   | Where a Registrant holds a General<br>peer and practice assessment will ir<br>following:   |  |
|  | <ul> <li>a review of selected patient file<br/>(when possible) and best repre<br/>Registrant's practice,</li> <li>a review of the primary premise</li> <li>a review of the Registrant's pro</li> <li>a review of the Registrant's known</li> </ul> | es that are no more than 12 months of<br>esent the scope and breadth of the<br>es where the Registrant practices,<br>ofessional portfolio,<br>pwledge and understanding of CON<br>ice, policies, and guidelines, and |
| Non-Clinical Term,<br>Condition or<br>Limitation | Where a Registrant has a non-clinic<br>placed on their certificate of registra<br>undergo a peer and practice assess<br>assessment will only review the Reg<br>and knowledge and understanding of<br>standards of practice, policies, and g        | tion, they will still be required to<br>sment, if selected. The<br>gistrant's Professional Portfolio<br>of the College's regulations,  |
| New Registrants                                  | New Registrants with the College of exempt from the Peer and Practice.   |  |
| Extension<br>Requests                            | Any Registrant selected for a peer a seek an extension if they are curren seriously ill, are on a leave-of-abser extenuating circumstances. All extends the QAC.   | tly on parental leave, are not   |
|  | An application for an extension of a<br>must be submitted in writing and red<br>days of the Registrant being notified<br>practice assessment, unless there a<br>affect the Registrant's ability to subr  | ceived by the College within 30<br>I of their selection for a peer and<br>are extenuating circumstances that   |
| Supplemental<br>Assessments                      | Any Registrant requiring a suppleme<br>assessment that is not part of the Pe<br>Program shall be charged a fee as o  | eer and Practice Assessment  |

| DATE POLICY APPROVED | REVIEW DATE   |
|----------------------|---------------|
| November 27, 2013    | June 28, 2022 |

| Section                         | Subject   | Page   |
|---------------------------------|---|--|
| Quality Assurance               | APPROVED<br>Quality Assurance   | 10<br>Create Date  |
|                                 | Program   | March 2, 2012  |
| Notification                    | When a Registrant is selected to p<br>assessment the QAC will notify the<br>provided to the College.  | articipate in a peer and practice<br>em in writing via email to the address  |
| Pre-Assessment<br>Questionnaire | Information and Declaration of a C  | articipate in a peer and practice<br>the Registrant with a Pre-Assessmen<br>conflict of Interest form that must be<br>eturned to the College within at least |
| Assignment of an<br>Assessor    |   | n the Pre-Assessment Information and<br>form the QAC will assign an assessor v<br>ce.  |
|                                 |   | r and practice assessment.<br>in a peer and practice assessment<br>s of practice will be matched, to the   |
|                                 | No Registrant of the College who,<br>has sat on a panel of the Discipline<br>allegations against a Registrant wi<br>that Registrant.  | e Committee and has heard  |
|                                 | No Registrant who, to the knowled<br>demonstrated antagonism towards<br>form of treatment offered by that R<br>assessor for that Registrant.  | another Registrant or towards a  |
| Request for a New<br>Assessor   | A Registrant who is required to un<br>assessment may make a request t<br>appointed by the Committee upon<br>name, if a legitimate conflict of inte<br>assessor.   | hat another assessor be being notified of the assessor's   |
|                                 | All requests must be submitted in the College within 15 days of the F<br>assessor's name unless the Regis<br>of interest at a later date. All reque<br>College on an individual basis. If th<br>conflict of interest exists a new ass | Registrant being notified of the<br>trant becomes aware of a conflict<br>ests will be evaluated by the<br>ne College determines that a                       |
| Setting a Date and<br>Time      | The appointed assessor will conta<br>the Registrant being notified of the<br>mutually convenient time to condu  | assessor's name to arrange a   |
|                                 | Peer and practice assessments do  | o not have to occur during office  |
| DATE POLICY APPROVED            |   | REVIEW DATE  |
|                                 |   |  |

June 28, 2022

November 27, 2013

| Section  | Subject   | Page   |
|--|---|--|
| Quality Assurance  | APPROVED<br>Quality Assurance<br>Program  | 11<br>Create Date<br>March 2, 2012   |
|  | hours. All assessments must be com<br>unless there are extenuating circums<br>assessment is to be scheduled as so   | tances in which case the   |
|  | Peer and practice assessments cond<br>the Registrant's primary practice loca<br>completed virtually, the Registrant is<br>location during the assessment.   | ation. When the assessment is  |
| Patient File Review  | When a patient file review is included<br>assessment the Registrant will select<br>represent the scope and breadth of the<br>than 12 months old (when possible).<br>minimum of five will be reviewed for of<br>Standard of Practice on Record Keep<br>additional patient care information and<br>it is warranted. | t 8-10 patient files that best<br>heir practice and that are no more<br>Of the 8-10 files selected, a<br>compliance with the College's |
| Chart Stimulated<br>Recall   | When the chart stimulated recall is incl<br>assessment, of the 8-10 patient files s<br>be selected for the chart stimulated r<br>reasoning and knowledge.   | selected by the Registrant, one file wil   |
| Premises Review  | When the premises review is include assessment, various physical require assessed.  | d in the peer and practice<br>ements for the practice location will be   |
| Review of<br>Regulations,<br>Standards, Policies<br>and Guidelines | When the review of the College's reg<br>policies and guidelines is included in<br>assessment, Registrants will be asse<br>understanding of the standards of pra<br>guidelines selected by the QAC.  | the peer and practice<br>essed for their knowledge and   |
| Selection of<br>College<br>Documents for<br>Review                 | The QAC will select up to 10 standar<br>and/or guidelines that may be review<br>assessment in the upcoming year. R<br>of the documents that will be includer  | ed as a part of the peer and practice<br>egistrants will be notified in advance  |
| Assessor's Report  | will provide it to the College within 14  | t's peer and practice assessment and<br>days of the completion of the<br>essor's Report, Professional Practice                         |
| Registrant<br>Evaluation of the<br>Assessment                      | The Registrant will be provided with a<br>Assessment Feedback form which th<br>College following the peer and practi  | ey may complete and submit to the  |

| DATE POLICY APPROVED | REVIEW DATE   |
|----------------------|---------------|
| November 27, 2013    | June 28, 2022 |

| Section           | Subject<br>APPROVED   | Page 12  |
|-------------------|---|--|
| Quality Assurance | Quality Assurance   | Create Date  |
| - •               | Program   | March 2, 2012  |
| Staff Authority   | The QA Committee authorizes the D practice reviews with Registrants.  | Deputy CEO to confirm satisfactory   |
|                   | The Deputy CEO may determine that<br>and direct that the Registrant receiv<br>successfully completed their peer an<br>without recommendations) in cases | e a letter indicating they have<br>nd practice assessment (with or   |
|                   | <ul> <li>all components receive a rating</li> </ul>   | of 1, or   |
|                   | ratings of either 1 or 2) and the<br>evidence in the report and/or th   | Il criteria (but all components receive<br>re is sufficient and reasonable<br>ne Registrant's submission that the<br>skill, and judgment to meet standard  |
|                   | The Registrant will be required to su information and/or comments outlini   | actice does not meet the standards<br>all provide the Registrant with a<br>ant's knowledge, skill, and<br>fied components of the assessment.<br>abmit, within 30 days, additional<br>ng any steps they have taken to<br>ssion made by the Registrant will be |
| Outcome Reports   |   | tlining the outcomes of all Registrant<br>on a regular basis and decisions may<br>Committee to ensure decision   |
| Further Review    | The QAC will review the Assessor's submissions and issue a decision.  | Report and the Registrant  |
| Prior History     | The QAC may review the Registran<br>investigations and/or complaints and<br>account when making its decision.   |  |
| Decision          | The QAC may:  |  |
|                   | have been assessed and found  | whose knowledge, skill and judgment<br>I to be unsatisfactory to participate in<br>ation programs specified by the   |
|                   | certificate of registration of a Re<br>judgment have been found to b<br>directed to participate in continu  | etermined by the Committee, on the<br>egistrant whose knowledge, skill and<br>e unsatisfactory, or who has been  |

| DATE POLICY APPROVED | REVIEW DATE   |
|----------------------|---------------|
| November 27, 2013    | June 28, 2022 |

| Section                                  | Subject   | Page  |
|--|---|---|
| Quality Assurance                        | APPROVED<br>Quality Assurance   | 13<br>Create Date   |
|  | Program   | March 2, 2012   |
|  | <ul> <li>end of a specified period, if the Registrant's knowledge, skill an</li> <li>disclose the name of the Regist Registrant to the Inquiries, Com QAC is of the opinion that the R</li> </ul>   | d judgment are now satisfactory,  |
| Access to Personal<br>Health Information | As outlined in section 82 of the Code<br>controls a premises or records relatin<br>permit the assessor to enter and insp<br>care of patients.   | ng to a Registrant's practice must  |
| Assessors                                | Peer and practice assessors shall be<br>with the College of Naturopaths of O<br>Quality Assurance Program and the   |   |
| Assessor Criteria                        | <ul> <li>date of and throughout the entire term</li> <li>holds a General certificate of reg</li> <li>has been registered in the General three years,</li> <li>has actively practised naturopate</li> <li>has undergone a peer and prace</li> <li>practises primarily in Ontario,</li> <li>is not in default of payment of an any fine or order for costs to the committee or court of law,</li> <li>is not in default in completing an College,</li> <li>is not the subject of any discipline</li> <li>has not had a finding of profession in the previous 3 years,</li> <li>is not currently or has not been time within the preceding 1 year</li> </ul> | eral category of registration for at least<br>thy in Ontario for at least 3 years,<br>tice assessment,<br>ny fees prescribed by the by- laws or<br>college imposed by a College<br>nd returning any form required by the<br>nary or incapacity proceeding,<br>ional misconduct, incompetence, or<br>roceeding 5 years,<br>Council or a committee of the College<br>or Committee of a college of any other<br>a member of the College's staff at any<br>c,<br>a member of the College's Council or |
| Assessor<br>Appointment                  | Assessors shall be appointed by the Q   |   |
|  | The term of a peer assessor is approxi appointed.   | imately 3 years from the date they are  |

| DATE POLICY APPROVED | REVIEW DATE   |
|----------------------|---------------|
| November 27, 2013    | June 28, 2022 |

| Section           | Subject           | Page          |
|-------------------|-------------------|---------------|
|                   | APPROVED          | 14            |
| Quality Assurance | Quality Assurance | Create Date   |
|                   | Program           | March 2, 2012 |

.

| Assessor<br>Application      | A Registrant may apply or re-apply to the Quality Assurance Committee to become a peer assessor by submitting a Peer Assessor Application form, resume/CV and a cover letter outlining the reasons(s) they are interested in being appointed or re-appointed as a peer assessor. The Quality Assurance Committee may request that the Registrant submit their professional portfolio or any other relevant documentation.  |
|------------------------------|--|
| QA Considerations            | <ul> <li>When appointing peer assessors, the QA Committee will consider the following:</li> <li>need for peer assessor(s),</li> <li>geographical location of the Registrant's practice,</li> <li>type of practice and/or practice style,</li> <li>experience,</li> <li>additional professional qualifications, expertise and/or specialty,</li> <li>languages spoken,</li> <li>communication skills</li> <li>additional qualifications and characteristics to complement the attributes of the Peer and Practice Assessment Program,</li> <li>interview evaluation.</li> </ul> |
| Assessor<br>Disqualification | <ul> <li>A Registrant will be discharged as a peer assessor if they:</li> <li>breach one of the qualifications required to become an assessor as outlined in this policy,</li> <li>breach confidentiality of any information learned through the peer and practice assessment and/or other QA programs,</li> <li>fail to properly or honestly meet the duties and responsibilities of the position for which they have been appointed.</li> </ul>  |
| Completion of<br>Appointment | An assessor will be considered to have completed their appointment and<br>thanked for their services if they, having made arrangements with the<br>Quality Assurance Committee for the completion of any outstanding peer<br>assessments, does any of the following:<br>• resigns in writing, or<br>• completes their term of service and is not re-appointed.   |

| DATE POLICY APPROVED | REVIEW DATE   |
|----------------------|---------------|
| November 27, 2013    | June 28, 2022 |